

## LANCASHIRE COMBINED FIRE AUTHORITY

### AUDIT COMMITTEE

Meeting to be held on 30 March 2017

### RISK MANAGEMENT

(Appendix 1 refers)

#### Contact for further information:

Keith Mattinson – Director of Corporate Services– telephone 01772 866804.

#### Executive Summary

The report highlights action taken in respect of corporate risk since these were last reported to the Audit Committee.

#### Decision Required

The Committee is asked to note the actions taken and endorse the revised corporate risk register.

#### Information

The latest review of the corporate risk register has not identified any new risks which warrant inclusion on the corporate risk register:-

#### Existing Risks

Of the existing risks 4 have been reviewed, and an updated corporate risk register is attached as appendix 1, with changes summarised below:-

		Update since last meeting	Proposed Risk Score	
1	Insufficient resources due to poor funding settlement, inability to make required savings, additional financial pressures such as RDS pensions etc., plus council tax limits via local referendum resulting in Authority being unable to set a balanced budget	The Government has confirmed that the multi-year settlement offers have been agreed with all single purpose fire and rescue authorities. Hence, barring exceptional circumstances, and subject to the normal statutory consultation process for the local government finance settlement, the Government expects these amounts to be presented to Parliament each year.	Remains at 9	Medium

		<p>However there still remains some uncertainty surrounding the changes to the Business Rates Retention Scheme and the impact of Brexit.</p> <p>The final Local Government Finance Settlement 2017/18 confirmed a funding reduction of £2.3m in 17/18 and £0.9m and £0.4m over the following two years.</p> <p>Based on current budget estimates we will be faced with a funding gap of up to £1.2m in 19/20 (assuming council tax is increased by 2% in future years).</p> <p>The Authority will continue to review opportunities for further savings, with the next ECR due in 17/18.</p> <p>The Authority holds sufficient reserves to enable it to smooth out the impact if funding reductions and meet the financial challenges in the medium term.</p>		
2	<p>Premises Risk Information: That operational staff do not have available adequate and reliable premises information to efficiently resolve operational incidents: Risk information is provided to operational staff based on premises information and premises risk are identified on a continuous basis although this is not consistent throughout the Service.</p>	<p>No change, not due to report till 30/6/17</p>	9	Medium
3	<p>Insufficient staffing resources, due to Industrial Action, to deal with operational demand and fulfil statutory responsibilities</p>	<p>Previously discharged</p>		

4	Lack of availability of water supplies for fire fighting prevents effective fire fighting resulting in additional damage to property and increased risk to life.	Previously discharged		
5	The increasing age profile of operational staff could adversely affect our ability to deliver effective emergency response.	Previously discharged		
6	Operational staff do not have the required skills to operate safely at an incident with the potential to result in F/F injuries or fatalities.	Previously discharged		
7	Failure of key ICT systems resulting in disruption to services	No change, not due to report till 30/6/17	9	Medium
8	Loss of corporate reputation through negative publicity	No change, not due to report till 31/12/17	9	Medium
9	Retention and recruitment of RDS staff impacts on RDS appliance availability	No change, not due to report till 31/12/17	12	Medium
10	Lack of workforce planning resulting in significant over/under provision of staff and resulting impact on service and finances	A mechanism of workforce planning has now been agreed and this will be reviewed on annual basis. As part of the development of the workforce plan a review of retirement profile is considered which is the main reason for turnover for those staff on grey book terms and conditions, this information is used to plan recruitment and enables us to plan effectively ensuring enough staff. Further to the turnover last year, an internal recruitment campaign and associated recruitment resulted in	Proposed to discharge this risk	Medium

		<p>recruitment to 27 posts. A further recruitment campaign is being conducted for 2017/18 which will be completed by mid-May. Our approach to training and organisational development ensures that staff have the necessary ability, skills and training in order to able to undertake the job role. In terms of managing the risks associated with over establishment, all posts are checked against the post book prior to advertising. Where a post is not established it needs to go through specific authorisation and establishment process which ensures that we control the number of posts we recruit.</p>		
11	Lack of compliance with legislation resulting in prosecution or compliance order	Previously discharged		
12	Ineffective Health and Safety in the workplace, resulting in prosecution, intervention fees etc.	No change, not due to report till 31/12/17	9	Medium
13	Lack of effective Information management impacting on service delivery and support or leading to a breach of data protection/freedom of information or a loss of sensitive/personal information	No change, not due to report till 31/12/17	9	Medium
14	Delayed mobilisation, impacting on service delivery	No change, not due to report till 31/12/17	9	Medium
15	High levels of staff absence due to outbreak of ebola.	Previously discharged		

16	Lack of clarity on future of FRS, leading to inertia.	Previously discharged		
17	Failure of ESMCP to deliver a viable communication facility.	No change, not due to report till 30/6/17	9	Medium
18	Inability to maintain service provision in spate conditions	<p>The published 2017-2022 LFRS Integrated Risk Management Plan recognises the impacts of wide area flooding (P2 increasing weather related events) as does our SOR for 2017. Ensure ESMCP specification recognises communication needs identified</p> <p>Training for LFRS FDOs regarding National Resilience Asset mobilisation and associated Command Support has been delivered, testing via an exercise to be completed</p> <p>LFRS vehicle fleet amended with multi-purpose (4x4) vehicles suitable for use in wide area flooding placed within the fleet, further purchases to follow in 2017/18 to extend the provision to 10.</p> <p>The enhancement of staff PPE with provision of flood suits and associated training is complete.</p>	Proposed to discharge this risk	
19	Failure to maximise the opportunities that technological advances present due to a lack of capacity within the ICT department, and an inability of staff to keep pace with new development that are implemented	<p>Development of 2017-2020 ICT Strategy to include work stream to improve user experience.</p> <p>Development of social networking site for staff to support each other and share knowledge of ICT systems has been rescheduled for 2017/18.</p> <p>Difficulties in recruiting additional ICT resources due to skill shortages have been resolved and recruitment is underway.</p>	Remain at 12	Medium

20	Loss of support for Vector Incident Command product with the product name Command Support System (CSS) leading to ineffective command function at large incidents	No change, not due to report till 30/6/17	9	Medium
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### **Financial Implications**

None

### **Human Resource Implications**

None

### **Equality and Diversity Implications**

None

### **Environmental Impact**

None

### **Business Risk Implications**

The improvement in risk management arrangements should result in reduced business risk

### **Local Government (Access to Information) Act 1985**

### **List of Background Papers**

Paper	Date	Contact
None		
Reason for inclusion in Part II, if appropriate:		